10/08/2013 11:58:17 AM Order File Case - #198xxx Name: NM POA, 2848, 8821 & Service Agreement – Signed by (Clients Name)

10/12/2013 11:10:43 AM Order File Case - #198xxx Name: Amended payment agreement - signed.pdf

10/12/2013 10:25:09 AM Case - #198xxx Comment Description: Welcoming Call - Left Message on (Clients Cell Phone) to advise of next steps and what to expect...will send email as well... f/u in 72hrs

10/14/2013 11:02:00 AM Case Case - #198xxx Comment: Client called in today...adv'd he's lost the org email with attachments and requested that we resend the welcome packet. Welcome packet resent to client at email as requested.

10/15/2013 05:02:44 PM Case Comment Description: NM Large Accounts Unit Compliance Call; Unable to get through due to high call volume. Calendared follow up call for 02/26/13.

10/18/2013 11:32:00 AM Case Comment Description: FEDERAL COMPLIANCE CALL Spoke with (Agents Name) Missing returns 10,11,12 No balances as of yet, NO SFR's Ordered W&I for 10,11 Not yet in collections - NO BALANCES AS OF YET - YEARS 10-11 REMAIN UNFILLED; I HAVE ORDERED W&I TO DETERMINE FILLING REQ.

10/18/2013 11:32:13 AM CaseComment Description: FEDERAL COMPLIANCE CALL – (Clients Name) Spoke with (IRS Agent) Missing returns 09,10,11,12 No balances as of yet No open exams No SFR's Ordered W&I for 09-11 Not yet in collections - NO BALANCES AS OF YET - IRS ISSUED A CP59 FOR 2011; I HAVE ORDERED W&I 09-11 - YEARS 09-11 NEED TO BE FILLED.

10/23/2013 04:48:29 PM CaseComment Description: Compliance call State of NM High dollar unit, balances 09 $28,598.96 SFR 03 $9528.88 from an Audit under reporting issue with IRS 07 $3959.29 from an Audit under reporting issue with IRS 06 $4465.60 08 $24382.82 Missing 09-10-11-12 we are hired to file Client had a levy but being that he is apart of an Indian reservation they denied and sent back the request for levy. Determine if the client is part of a reservation - Ask if he invested $$ in anything out side the reservation

11/01/2013 12:45:01 PM CaseComment Description: Client called in assisted client on tax organizers he will go ahead and forward them over to us.

11/06/2013 03:20:19 PM CaseComment Description: swc client introduced my self as new case manager. He wants to set up an appointment with me to fill out welcome packet he will give me a call to let me know when.

11/10/2013 03:42:45 PM CaseComment Description: client called me back with to let me know that he will be sending some letters that he received and he is still working on the welcome packet.

11/18/2013 11:42:41 AM Note Subject: Email
Description: Good morning, Conference call with (Client's Name) went very well he said how nice of a guy you are. He said we rock. He is a very nice guy & was apologizing for not having stuff ready on time. We were on the call for 1hr 30 min. I told him that I will follow up with him next week. I explained to him that if I was always calling him to get the paper work in to me is

because we want to make sure we are moving forward. He understands and thanked me for pushing him to get what I need to proceed with case.

11/21/2013 08:34:09 AM CaseComment Description: called out client to follow up and to ask him a few questions LVM to call me back at (HTS Phone Number)

11/31/2013 09:10:06 AM CaseComment File Name: 1098 and 1099 (2012,2011,2010,2009), Escrow Acct., Monthly Loan Pmnt.pdf

11/31/2013 09:53:09 AM CaseComment Description: FEDERAL COMPLIANCE CALL Spoke with (IRS Agent Name) Missing returns 09,10,11,12 No balances as of yet No open exams No SFR's Not yet in collections - THE TAXPAYERS ACCOUNT HAS NO BALANCES. - YEARS 09-12 REMAIN UNFILLED; THE IRS IS NOT LOOKING FOR THESE RETURNS; THE CLIENT HAS THE OPTION OF FILLING IF HE WISHES - YEARS 09-12 WILL NOT BE SFR'D

12/11/2013 10:39:54 AM File Name: 1099 2009-2012.pdf

12/11/2013 10:45:50 AM File Bank Stmnts.pdf

12/11/2013 10:54:28 AM Case Comment Miscellaneous Income 1099 09-12, 2012 TO, Tribe Stmnts.pdf

12/11/2013 10:56:29 AM File Name: Bank Stmnts for Savings Check.pdf

12/20/2013 11:59:44 AM CaseComment Description: called out client left him a message to please call me back

12/21/2013 10:56:01 AM CaseComment Description: spoke with client to f/u with his case i also asked him a few questions regarding the cc call to state he stated that he has never lived in a reservation he is only a member of the tribe. I told client i will call him back when I get an update.

01/02/2014 06:06:04 PM CaseComment Description: I prepared tax years 2009 - 2012; every year is due a refund. Taxpayer does not have a tax liability.

01/02/2014 06:32:26 PM CaseComment Description: Prepared TR's client's balance will cancel out once TR's are submitted and processed. Mailed out TR to client I will need the client to send a signed copy or take them into NM to get them time Stamped so they can process faster.

01/18/2014 11:45:10 AM CaseComment Description: called out client to follow up with TR's he said he received them yesterday he said to give him two days to send them in

01/18/2014 10:59:58 AM CaseComment Description: Uploaded 2009-2012 Fed & State TRs

01/24/2014 04:15:23 PM CaseComment Description: Compliance call State of NM balances will not be paid off even if client has refunds from Fed side We need to order the CP2000 for 03&07 We also need to order account transcripts for 03-06-07-08 NM has zero withholding for 03-06-07 and $5000 for 08 Need to know when they stated withholding from client check may need copies of past 1099

01/24/2014 04:56:31 PM CaseComment: Mailed out 09-10-11-12 Tax returns to NM

01/25/2014 03:00:30 PM CaseComment Description: called out client voice mail is full couldn't leave a message. I sebt client an email stating that I sent him a copy of his TRs and I also told him to pls call me back - need to go over so info regarding the state

01/25/2014 03:47:08 PM CaseComment Description: client called me back and I asked him to please provide us with 1099's for 03-06-07-08 I also told him that I made copies of his TRs

02/01/2014 08:18:26 AM CaseComment Description: Called LVM inquiring as to whether he ever send tax returns off to FTB and IRS. Told him if he has not, he needs to send them with original signatures to appropriate taxing agencies. Left my direct phone number, told client to call me back.

02/01/2014 11:12:24 AM CaseComment Description: Reviewed case file. Retainer Agreement: IRS Claimed Fed Liability: $1,000,000.00 State Liability: $250,000.00 returns for periods: 2009-2012 We prepared two versions of Fed Tax Returns, uncertain of which versions were filed. Balances as follows: Fed MFJ TR's 2009 - $14,306.00 Refund 2010 - $31,440.00 '' 2011 - $31,442.00 '' 2012 - $30,910.00 Refund Total Refunds: $108,098.00 Fed MFS TR's 2009 - $14,306.00 Refund 2010 - $14,879.00 '' 2011 - $14,618.00 '' 2012 - $13,220.00 Refund Total Refunds: $57,023.00 State TR's Only one version prepared as: MFS 2009 - $3,536.00 Liability 2010 - $3,705.00 Refund 2011 -$4,687.00 Refund 2012 - $3,496.00 Refund Total Refund: $8,352.00 Updated CM & Legal Pro via email.

02/02/2014 04:23:39 PM Case Comment - File Name: (Client Name) Pay Stubs.pdf

02/02/2014 04:24:02 PM CaseComment Description: Uploaded Pay Stubs

02/03/2013 02:56:21 PM CaseComment Description: Compliance call State of NM client is with High dollar unit agent assigned. Blances Owed 2007 Based off IRS Audit $37,660.25 2008 based off IRS Audit $24,818.41 2009 $5061.79 2010 $3774.25 2011 $971.60 2012 $152.12 Total owed as of today $72,438.42 I called LVM for agent assigned I need to confirm how much payments where collected from the IRS refunds

02/04/2014 11:26:51 AM CaseComment Description: Spoke with (Agent Name) who is assigned to case she stated she is out of office so she don't have access to any information. But that all refunds from the IRS where received in Nov and Dec and at this time the client does owe around $74k We will need to complete a 3561-c2 and fax it to her (Fax Number) also need to look into the audit that was done with IRS for 2007 if fed revised it we will need to send paper work over to NM to show any changes.